[redacted]

FD-1040a	FEDERAL BUREAU OF INVESTIGATION QUARTERLY SUPERVISORY SOURCE REPORT

Date of Last Reporting:

Has the CHS been involved in Otherwise Illegal Activity (OIA) during this review period?	No
OIA Authorizations (serialized during the QSSR period)	
OIA Revocations (serialized during the QSSR period)	
Has the CHS been involved in consensual monitoring during this review period?	No
Has the CHS been involved in operational travel during this review period?	No
Has the CHS been involved in personal international travel during this review period?	Yes
Has the CHS Travel Authorization form been completed and have the appropriate LEGAT(s) been notified?	No
Has the CHS been approved for participation in a Group 1 UCO during this review period?	No
Has the CHS been approved for participation in a Group 2 UCO during this review period?	No
Have the CHS and Case Agent/Co-Case Agent communicated via email and/or text during this review period?	No
Has the CHS participated in any UDP during this review period?	No

SECTION II CERTIFICATION

I certify that all information contained in Section II has been reviewed and is accurate as of 06/01/2016	Yes

Explain any updates to Section II since the previous QSSR:

PAYMENT MATTERS

Payments (Payment Date during the QSSR period)

Payment Date	Total Services	Expenses	Case Number	Request Serial
No data provided.				

Payments Requests (Serialized during the QSSR period)

Serialized Date	Total	Case Number	Serial
No data provided.			

AUSA Coordination Letter - CHS Payments

Serialized Date	Serial
No data provided.	

If an FPO attorney was involved in the conduct of the investigation, was his or her concurrence with the payment(s) obtained and documented in the CHS main file?	N/A
Have expenses, vendor receipts, copies, or the CHS's explanation of their absence been obtained and retained in the CHS file?	N/A
Have all payments been witnessed, and receipts signed, by an FBI special agent and another FBI special agent or government official?	N/A
Did the CHS signed or initialed, in true or payment name, and dated the receipt for payment, which is maintained in the CHS's file.	N/A

[redacted]

[redacted]

FD-1040a	FEDERAL BUREAU OF INVESTIGATION QUARTERLY SUPERVISORY SOURCE REPORT

Have all payments been reconciled? N/A

SECTION III CERTIFICATION

I certify that all information contained in Section III has been reviewed and is accurate as of 06/01/2016 Yes

Explain any updates to Section III since the previous QSSR:

ADMINISTRATION

Was SAC authorization obtained for any disclosure of the CHS's identity to non-DOJ personnel and documented in the CHS file? N/A

Did the CHS engage in unauthorized criminal activity (does not include minor traffic offenses)? No

Is the CHS currently or expected to become a subject of investigation or prosecution by an FPO or state or local prosecutor's office? No

Has the CHS previously testified? No

Is the CHS expected to testify? No

Is the CHS involved in certain federal judicial proceedings? No

Will the CHS potentially obtain or provide privileged or exculpatory information? No

Has the CHS been or is expected to be named in an Electronic Surveillance Application? No

Has the CHS provided information to support probable cause in a FISA application? No

Were annual queries conducted of FBI universal and confidential indices and criminal history and ELSUR? Yes

Date of Database Checks
04/15/2016

Was the CHS provided all applicable instructions, which were witnessed by an Agent and a government official, and documented in the CHS's file? Yes

Last Admonishments Date: 05/11/2015

Was the FOASR prepared, approved, and submitted by the ASAC? Yes

If the CHS was operated for more than five consecutive years, qualifying as a Long-Term CHS, has this been noted in the FOASR so that FBIHQ can make the appropriate notification to DOJ? Yes

Is the SSI, including the CPS and Special Criteria sections, current? Yes

SECTION IV CERTIFICATION

I certify that all information contained in Section IV has been reviewed and is accurate as of 06/01/2016 Yes

Explain any updates to Section IV since the previous QSSR:

CHS UTILITY VALUE SYSTEM

Is there justification for no contact with CHS during review period? Yes

Justification:
CHS unavailable

Productivity Value:

[redacted]

[redacted]

FD-1040a	**FEDERAL BUREAU OF INVESTIGATION** QUARTERLY SUPERVISORY SOURCE REPORT

Access:

Access Value:

CHS Utility Value:

No statistical accomplishments have been claimed for this period.

CHS Utility Value = CHS NOT EVALUATED

CHS PRIORITIZATION SYSTEM

Score: [redacted]

COMMENTS

Comments/Instructions:
CHS spends parts of his year in the UK. As such, contact is not regular. However, the CHS continues to be in a advantageous position to assist the USIC OGA [redacted] CHS was previously closed and re-opened. It is just 5 years now since the re-opening. Follow up with CHS on [redacted] case. Continue to operate.

Workflow History

[redacted]

[redacted]

FD-209a	FEDERAL BUREAU OF INVESTIGATION CHS CONTACT REPORT

HEADER

Source ID: HALPER [redacted]
Date: 08/11/2016
Case Agent Name: Non SES [redacted]
Field Office/Division: New York
Squad: CD 8
Classified By: [redacted]
Derived From: [redacted]
Declassify On: [redacted]

CONTACT REPORT

Date of Contact: 08/11/2016

List all present including yourself (do not include the CHS):
SA Benjamin E. Gessford
SOS Non SES [redacted]
SA Stephen M. Somma

Type of Contact: In Person

Country: UNITED STATES
Address Line 1: [redacted]
Address Line 2:
City: [redacted]
State: Virginia
ZIP/Postal Code:

Anomalies:
None

Life Changes:
None

FBI investigative techniques/information revealed to source for operational purposes:
None

Other:
None

PROGRAM(S) ADDRESSED IN THIS REPORT

Substantive Case File Number:

97F-HQ-2063661

[redacted]

[redacted]

FD-209a	FEDERAL BUREAU OF INVESTIGATION CHS CONTACT REPORT

HEADER

Source ID: HALPER [redacted]
Date: 08/17/2016
Case Agent Name: Non SES
Field Office/Division: New York
Squad: CD 8
Classified By: [redacted]
Derived From: [redacted]
Declassify On: [redacted]

CONTACT REPORT

Date of Contact: 08/16/2016

List all present including yourself (do not include the CHS):
SA Benjamin Gessford
SA Stephen M. Somma

Type of Contact: In Person

Country: UNITED STATES
Address Line 1: [redacted]
Address Line 2:
City: [redacted]
State: Virginia
ZIP/Postal Code:

Anomalies:
None

Life Changes:
None

FBI investigative techniques/information revealed to source for operational purposes:
CHS was introduced to several recoding devices he will be utilizing during an operation related to CROSSFIRE HURRICANE.

Other:
None

PROGRAM(S) ADDRESSED IN THIS REPORT

Substantive Case File Number:

97F-HQ-2063661

[redacted]

[redacted]

FD-209a	FEDERAL BUREAU OF INVESTIGATION CHS CONTACT REPORT

HEADER

Source ID: HALPER [redacted]
Date: 08/23/2016
Case Agent Name: Non SES [redacted]
Field Office/Division: New York
Squad: CD 8
Classified By: [redacted]
Derived From: [redacted]
Declassify On: [redacted]

CONTACT REPORT

Date of Contact: 08/28/2016

List all present including yourself (do not include the CHS):
SA Stephen M. Somma
SA Benjamin E. Gessford
SOS Non SES [redacted]

Type of Contact: In Person

Country: UNITED STATES
Address Line 1: [redacted]
Address Line 2:
City: [redacted]
State: Virginia
ZIP/Postal Code:

Anomalies:
None

Life Changes:
None

FBI investigative techniques/information revealed to source for operational purposes:
None

Other:
None

PROGRAM(S) ADDRESSED IN THIS REPORT

[redacted]

[redacted]

FD-209a	FEDERAL BUREAU OF INVESTIGATION CHS CONTACT REPORT

HEADER

Source ID: HALPER [redacted]
Date: 09/05/2016
Case Agent Name: Non SES
Field Office/Division: New York
Squad: CD 8
Classified By: [redacted]
Derived From: [redacted]
Declassify On: [redacted]

CONTACT REPORT

Date of Contact: 09/04/2016

List all present including yourself (do not include the CHS):
SA Stephen M. Somma

Type of Contact: Telephonic

Anomalies:
None

Life Changes:
None

FBI investigative techniques/information revealed to source for operational purposes:
None

Other:
None

PROGRAM(S) ADDRESSED IN THIS REPORT

[redacted]

[redacted]

FD-209a	FEDERAL BUREAU OF INVESTIGATION CHS CONTACT REPORT

HEADER

Source ID: HALPER [redacted]
Date: 09/05/2016
Case Agent Name: Non SES
Field Office/Division: New York
Squad: CD 8
Classified By: [redacted]
Derived From: [redacted]
Declassify On: [redacted]

CONTACT REPORT

Date of Contact: 09/01/2016

List all present including yourself (do not include the CHS):
SA Benjamin E. Gessford
SA Stephen M. Somma
SOS Non SES

Type of Contact: In Person

Country: UNITED STATES
Address Line 1: [redacted]
Address Line 2:
City: [redacted]
State: Virginia
ZIP/Postal Code:

Anomalies:
None

Life Changes:
None

FBI investigative techniques/information revealed to source for operational purposes:
CHS had a recording device for a meeting.

Other:
None

PROGRAM(S) ADDRESSED IN THIS REPORT

Substantive Case File Number:

97F-HQ-2063661

[redacted]

[redacted]

FD-1040a	FEDERAL BUREAU OF INVESTIGATION QUARTERLY SUPERVISORY SOURCE REPORT

HEADER

Source ID:	HALPER [redacted]
Date:	09/22/2016
Case Agent Name:	Non SES
Field Office/Division:	New York
Squad:	CD 8
Classified By:	[redacted]
Derived From:	[redacted]
Declassify On:	[redacted]

PERIOD COVERED BY THIS REPORT

From:	06/02/2016
To:	08/31/2016

OPENING/REOPENING

Have checks of NCIC, ELSUR, Sentinel, and confidential indices been conducted with results attached? Yes

Date of Record Checks
04/15/2016

Has the SSI, including the CPS and Special Criteria sections, been reviewed or updated? Yes

Have all appropriate instructions been given to the CHS, witnessed, and documented prior to utilization and no later than 90 days after the opening? Yes

Initial Admonishment Date: 02/01/2012

Have agent assignments been reviewed and deemed appropriate for the operation of this source? (And have co-case agents from outside agencies been advised of relevant FBI policies?) Yes

SPECIAL APPROVAL CATEGORIES

Is the CHS in a special approval category (Senior Leadership, High-Level Union Officials, High-Level Government Officials, Attorneys, Physicians, Clergy, Employees of the Media, or Long-Term)? No

SENSITIVE CATEGORIES

Is the CHS in a sensitive CHS category? No

SECTION I CERTIFICATION

I certify that all information contained in Section I has been reviewed and is accurate as of 08/31/2016 Yes

Explain any updates to Section I since the previous QSSR:

OPERATIONAL MATTERS

[redacted]

[redacted]

FD-1040a	FEDERAL BUREAU OF INVESTIGATION QUARTERLY SUPERVISORY SOURCE REPORT

Date of Last Reporting: 08/18/2016

Has the CHS been involved in Otherwise Illegal Activity (OIA) during this review period? No

OIA Authorizations (serialized during the QSSR period)

OIA Revocations (serialized during the QSSR period)

Has the CHS been involved in consensual monitoring during this review period? No

Has the CHS been involved in operational travel during this review period? No

Has the CHS been involved in personal international travel during this review period? Yes

Has the CHS Travel Authorization form been completed and have the appropriate LEGAT(s) been notified? No

Has the CHS been approved for participation in a Group 1 UCO during this review period? No

Has the CHS been approved for participation in a Group 2 UCO during this review period? No

Have the CHS and Case Agent/Co-Case Agent communicated via email and/or text during this review period? No

Has the CHS participated in any UDP during this review period? No

SECTION II CERTIFICATION

I certify that all information contained in Section II has been reviewed and is accurate as of 08/31/2016 Yes

Explain any updates to Section II since the previous QSSR:

PAYMENT MATTERS

Payments (Payment Date during the QSSR period)

Payment Date	Total Services	Expenses	Case Number	Request Serial
08/19/2016	5000.0000	0.0000	97F-HQ-2063661	HALPER [redacted] CE-060

Payments Requests (Serialized during the QSSR period)

Serialized Date	Total	Case Number	Serial
08/15/2016	5000.0000	97F-HQ-2063661	HALPER [redacted] CE-060

AUSA Coordination Letter - CHS Payments

Serialized Date	Serial
No data provided.	

If an FPO attorney was involved in the conduct of the investigation, was his or her concurrence with the payment(s) obtained and documented in the CHS main file? N/A

Have expenses, vendor receipts, copies, or the CHS's explanation of their absence been obtained and retained in the CHS file? N/A

Have all payments been witnessed, and receipts signed, by an FBI special agent and another FBI special agent or government official? N/A

Did the CHS signed or initialed, in true or payment name, and dated the receipt for payment, which is maintained in the CHS's file. N/A

[redacted]

[redacted]

FD-1040a	FEDERAL BUREAU OF INVESTIGATION QUARTERLY SUPERVISORY SOURCE REPORT

Have all payments been reconciled?	Yes

SECTION III CERTIFICATION

I certify that all information contained in Section III has been reviewed and is accurate as of 08/31/2016	Yes

Explain any updates to Section III since the previous QSSR:
One expense still needs to be reconciled. It will be completed this week.

ADMINISTRATION

Was SAC authorization obtained for any disclosure of the CHS's identity to non-DOJ personnel and documented in the CHS file?	N/A
Did the CHS engage in unauthorized criminal activity (does not include minor traffic offenses)?	No
Is the CHS currently or expected to become a subject of investigation or prosecution by an FPO or state or local prosecutor's office?	No
Has the CHS previously testified?	No
Is the CHS expected to testify?	No
Is the CHS involved in certain federal judicial proceedings?	No
Will the CHS potentially obtain or provide privileged or exculpatory information?	No
Has the CHS been or is expected to be named in an Electronic Surveillance Application?	No
Has the CHS provided information to support probable cause in a FISA application?	No
Were annual queries conducted of FBI universal and confidential indices and criminal history and ELSUR?	Yes

Date of Database Checks
04/15/2016

Was the CHS provided all applicable instructions, which were witnessed by an Agent and a government official, and documented in the CHS's file?	Yes
Last Admonishments Date: 05/11/2015	
Was the FOASR prepared, approved, and submitted by the ASAC?	Yes
If the CHS was operated for more than five consecutive years, qualifying as a Long-Term CHS, has this been noted in the FOASR so that FBIHQ can make the appropriate notification to DOJ?	Yes
Is the SSI, including the CPS and Special Criteria sections, current?	Yes

SECTION IV CERTIFICATION

I certify that all information contained in Section IV has been reviewed and is accurate as of 08/31/2016	Yes

Explain any updates to Section IV since the previous QSSR:

CHS UTILITY VALUE SYSTEM

Stat Type	Total Count
No statistical accomplishments have been claimed for this period.	

[redacted]

[redacted]

FD-1040a	**FEDERAL BUREAU OF INVESTIGATION** QUARTERLY SUPERVISORY SOURCE REPORT

Did the CHS provide actionable intelligence which was memorialized in a Source Reporting Document (Actionable intelligence is defined as inteIs lligence which generates investigative activity and/or responds to national or local collection requirements)? Yes

Does the CHS have value due to his/her unique or potential access to FBI priority targets or intelligence responsive to FBI national and/or local collection requirements? Yes

Productivity Value: [redacted]

Access: [redacted]

[redacted]

Access Value: [redacted]

CHS Utility Value: [redacted]

CHS PRIORITIZATION SYSTEM

Score: [redacted]

COMMENTS

Comments/Instructions:
CHS spends parts of his year in the UK. As such, contact is not regular. However, the CHS continues to be in a advantageous position to assist the USIC[redacted]OGA[redacted]CHS was previously closed and re-opened. It is just 5 years now since the re-opening. CHS is currently assisting on a high priority/sensitive investigation (CROSSFIRE HURRICANE). Due to the sensitivity of that case, CHS' reporting is not being put into Delta at the request of FBIHQ.

Workflow History

[redacted]

[redacted]

FD-1040a	FEDERAL BUREAU OF INVESTIGATION QUARTERLY SUPERVISORY SOURCE REPORT

HEADER

Source ID:	HALPER [redacted]
Date:	12/13/2016
Case Agent Name:	Non SES [redacted]
Field Office/Division:	New York
Squad:	CD 8
Classified By:	[redacted]
Derived From:	[redacted]
Declassify On:	[redacted]

PERIOD COVERED BY THIS REPORT

From:	09/01/2016
To:	11/30/2016

OPENING/REOPENING

Have checks of NCIC, ELSUR, Sentinel, and confidential indices been conducted with results attached? Yes

Date of Record Checks
04/15/2016

Has the SSI, including the CPS and Special Criteria sections, been reviewed or updated? Yes

Have all appropriate instructions been given to the CHS, witnessed, and documented prior to utilization and no later than 90 days after the opening? Yes

Initial Admonishment Date: 02/01/2012

Have agent assignments been reviewed and deemed appropriate for the operation of this source? (And have co-case agents from outside agencies been advised of relevant FBI policies?) Yes

SPECIAL APPROVAL CATEGORIES

Is the CHS in a special approval category (Senior Leadership, High-Level Union Officials, High-Level Government Officials, Attorneys, Physicians, Clergy, Employees of the Media, or Long-Term)? No

SENSITIVE CATEGORIES

Is the CHS in a sensitive CHS category? No

SECTION I CERTIFICATION

I certify that all information contained in Section I has been reviewed and is accurate as of 11/30/2016 Yes

Explain any updates to Section I since the previous QSSR:

OPERATIONAL MATTERS

[redacted]

[redacted]

FD-1040a	FEDERAL BUREAU OF INVESTIGATION QUARTERLY SUPERVISORY SOURCE REPORT

Date of Last Reporting: 09/06/2016

Has the CHS been involved in Otherwise Illegal Activity (OIA) during this review period? No

OIA Authorizations (serialized during the QSSR period)

OIA Revocations (serialized during the QSSR period)

Has the CHS been involved in consensual monitoring during this review period? No

Has the CHS been involved in operational travel during this review period? No

Has the CHS been involved in personal international travel during this review period? Yes

Has the CHS Travel Authorization form been completed and have the appropriate LEGAT(s) been notified? No

Has the CHS been approved for participation in a Group 1 UCO during this review period? No

Has the CHS been approved for participation in a Group 2 UCO during this review period? No

Have the CHS and Case Agent/Co-Case Agent communicated via email and/or text during this review period? No

Has the CHS participated in any UDP during this review period? No

SECTION II CERTIFICATION

I certify that all information contained in Section II has been reviewed and is accurate as of 11/30/2016 Yes

Explain any updates to Section II since the previous QSSR:

PAYMENT MATTERS

Payments (Payment Date during the QSSR period)

Payment Date	Total Services	Expenses	Case Number	Request Serial
09/29/2016	25000.0000	0.0000	97F-HQ-2067748	HALPER [redacted] CE-062
09/08/2016	15000.0000	0.0000	97F-HQ-2063661	HALPER [redacted] CE-061

Payments Requests (Serialized during the QSSR period)

Serialized Date	Total	Case Number	Serial
09/06/2016	25000.0000	97F-HQ-2067748	HALPER [redacted] CE-062
09/01/2016	15000.0000	97F-HQ-2063661	HALPER [redacted] CE-061

AUSA Coordination Letter - CHS Payments

Serialized Date	Serial
No data provided.	

If an FPO attorney was involved in the conduct of the investigation, was his or her concurrence with the payment(s) obtained and documented in the CHS main file? N/A

Have expenses, vendor receipts, copies, or the CHS's explanation of their absence been obtained and retained in the CHS file? N/A

[redacted]

FD-1040a	FEDERAL BUREAU OF INVESTIGATION QUARTERLY SUPERVISORY SOURCE REPORT

Have all payments been witnessed, and receipts signed, by an FBI special agent and another FBI special agent or government official?	N/A
Did the CHS signed or initialed, in true or payment name, and dated the receipt for payment, which is maintained in the CHS's file.	N/A
Have all payments been reconciled?	Yes

SECTION III CERTIFICATION

I certify that all information contained in Section III has been reviewed and is accurate as of 11/30/2016	Yes

Explain any updates to Section III since the previous QSSR:

ADMINISTRATION

Was SAC authorization obtained for any disclosure of the CHS's identity to non-DOJ personnel and documented in the CHS file?	N/A
Did the CHS engage in unauthorized criminal activity (does not include minor traffic offenses)?	No
Is the CHS currently or expected to become a subject of investigation or prosecution by an FPO or state or local prosecutor's office?	No
Has the CHS previously testified?	No
Is the CHS expected to testify?	No
Is the CHS involved in certain federal judicial proceedings?	No
Will the CHS potentially obtain or provide privileged or exculpatory information?	No
Has the CHS been or is expected to be named in an Electronic Surveillance Application?	No
Has the CHS provided information to support probable cause in a FISA application?	No
Were annual queries conducted of FBI universal and confidential indices and criminal history and ELSUR?	Yes

Date of Database Checks
04/15/2016

Was the CHS provided all applicable instructions, which were witnessed by an Agent and a government official, and documented in the CHS's file?	Yes
Last Admonishments Date: 05/11/2015	
Was the FOASR prepared, approved, and submitted by the ASAC?	Yes
If the CHS was operated for more than five consecutive years, qualifying as a Long-Term CHS, has this been noted in the FOASR so that FBIHQ can make the appropriate notification to DOJ?	Yes
Is the SSI, including the CPS and Special Criteria sections, current?	Yes

SECTION IV CERTIFICATION

I certify that all information contained in Section IV has been reviewed and is accurate as of 11/30/2016	Yes

Explain any updates to Section IV since the previous QSSR:

CHS UTILITY VALUE SYSTEM

Stat Type	Total Count

[redacted]

[redacted]

FD-1040a	FEDERAL BUREAU OF INVESTIGATION QUARTERLY SUPERVISORY SOURCE REPORT

No statistical accomplishments have been claimed for this period.

Did the CHS provide actionable intelligence which was memorialized in a Source Reporting Document (Actionable intelligence is defined as inteIs lligence which generates investigative activity and/or responds to national or local collection requirements)? Yes

Does the CHS have value due to his/her unique or potential access to FBI priority targets or intelligence responsive to FBI national and/or local collection requirements? Yes

Productivity Value: [redacted]

Access: [redacted]

[redacted]

Access Value: [redacted]

CHS Utility Value: [redacted]

CHS PRIORITIZATION SYSTEM

Score: [redacted]

COMMENTS

Comments/Instructions:
CHS spends parts of his year in the UK. As such, contact is not regular. However, the CHS continues to be in a advantageous position to assist the USIC OGA [redacted] CHS was previously closed and re-opened. It is just 5 years now since the re-opening. CHS is currently assisting on a high priority/sensitive investigation Due to the sensitivity of that case, CHS' reporting is not being put into Delta at the request of FBIHQ. More information is available upon request.

Workflow History

[redacted]

[redacted]

FD-209a	FEDERAL BUREAU OF INVESTIGATION CHS CONTACT REPORT

HEADER

Source ID: HALPER [redacted]
Date: 02/27/2017
Case Agent Name: Non SES
Field Office/Division: New York
Squad: CD 8
Classified By: [redacted]
Derived From: [redacted]
Declassify On: [redacted]

CONTACT REPORT

Date of Contact: 02/25/2017

List all present including yourself (do not include the CHS):
SA Stephen M. Somma

Type of Contact: Telephonic

Anomalies:
None

Life Changes:
None

FBI investigative techniques/information revealed to source for operational purposes:
None

Other:
SA Somma spoke with CHS to set-up a meeting for 2/28/2017.

[redacted]

[redacted]

FD-209a	FEDERAL BUREAU OF INVESTIGATION CHS CONTACT REPORT

HEADER

Source ID: HALPER [redacted]
Date: 02/27/2017
Case Agent Name: Non SES [redacted]
Field Office/Division: New York
Squad: CD 8
Classified By: [redacted]
Derived From: [redacted]
Declassify On: [redacted]

CONTACT REPORT

Date of Contact: 02/01/2017

List all present including yourself (do not include the CHS):
SSA Non SES [redacted]

Type of Contact: In Person

Country: UNITED STATES
Address Line 1: [redacted]
Address Line 2:
City: [redacted]
State: Virginia
ZIP/Postal Code:

Anomalies:
None

Life Changes:
None

FBI investigative techniques/information revealed to source for operational purposes:
None

Other:
None

PROGRAM(S) ADDRESSED IN THIS REPORT

Substantive Case File Number:

97F-NY-2067747

[redacted]

[redacted]

FD-209a	FEDERAL BUREAU OF INVESTIGATION CHS CONTACT REPORT

HEADER

Source ID: HALPER [redacted]
Date: 02/27/2017
Case Agent Name: Non SES [redacted]
Field Office/Division: New York
Squad: CD 8
Classified By: [redacted]
Derived From: [redacted]
Declassify On: [redacted]

CONTACT REPORT

Date of Contact: 01/25/2017

List all present including yourself (do not include the CHS):
SSA Non SES [redacted]
SA Stephen M. Somma

Type of Contact: In Person

Country: UNITED STATES
Address Line 1: [redacted]
Address Line 2: [redacted]
City: [redacted]
State: District of Columbia
ZIP/Postal Code:

Anomalies:
None

Life Changes:
None

FBI investigative techniques/information revealed to source for operational purposes:
CHS was provided with an Eagle to wear during meeting with target.

Other:
None

PROGRAM(S) ADDRESSED IN THIS REPORT

Substantive Case File Number:

97F-NY-2067747

[redacted]

[redacted]

FD-209a	FEDERAL BUREAU OF INVESTIGATION CHS CONTACT REPORT

HEADER

Source ID: HALPER [redacted]
Date: 03/01/2017
Case Agent Name: Non SES [redacted]
Field Office/Division: New York
Squad: CD 8
Classified By: [redacted]
Derived From: [redacted]
Declassify On: [redacted]

CONTACT REPORT

Date of Contact: 03/01/2017

List all present including yourself (do not include the CHS):
SA Stephen M. Somma

Type of Contact: In Person

Country: UNITED STATES
Address Line 1: [redacted]
Address Line 2:
City: [redacted]
State: Virginia
ZIP/Postal Code:

Anomalies:
None

Life Changes:
None

FBI investigative techniques/information revealed to source for operational purposes:
None

Other:
None

PROGRAM(S) ADDRESSED IN THIS REPORT

HQ Division: Counterintelligence Division
Program: COUNTERINTELLIGENCE PROGRAM
Subprogram: COUNTERINTELLIGENCE

[redacted]

[redacted]

Substantive Case File Number:

97F-NY-2067747

[redacted]

[redacted]

FD-1040a	**FEDERAL BUREAU OF INVESTIGATION** QUARTERLY SUPERVISORY SOURCE REPORT

HEADER

Source ID:	HALPER [redacted]
Date:	04/04/2017
Case Agent Name:	Non SES [redacted]
Field Office/Division:	New York
Squad:	CD 10
Classified By:	[redacted]
Derived From:	[redacted]
Declassify On:	[redacted]

PERIOD COVERED BY THIS REPORT

From:	12/01/2016
To:	03/01/2017

OPENING/REOPENING

Have checks of NCIC, ELSUR, Sentinel, and confidential indices been conducted with results attached?	No
Has the SSI, including the CPS and Special Criteria sections, been reviewed or updated?	Yes
Have all appropriate instructions been given to the CHS, witnessed, and documented prior to utilization and no later than 90 days after the opening?	Yes

Initial Admonishment Date: 02/01/2012

Have agent assignments been reviewed and deemed appropriate for the operation of this source? (And have co-case agents from outside agencies been advised of relevant FBI policies?)	Yes

SPECIAL APPROVAL CATEGORIES

Is the CHS in a special approval category (Senior Leadership, High-Level Union Officials, High-Level Government Officials, Attorneys, Physicians, Clergy, Employees of the Media, or Long-Term)?	No

SENSITIVE CATEGORIES

Is the CHS in a sensitive CHS category?	No

SECTION I CERTIFICATION

I certify that all information contained in Section I has been reviewed and is accurate as of 03/01/2017	Yes

Explain any updates to Section I since the previous QSSR:

OPERATIONAL MATTERS

Date of Last Reporting: 02/27/2017

Has the CHS been involved in Otherwise Illegal Activity (OIA) during this review period?	No

[redacted]

[redacted]

FD-1040a	FEDERAL BUREAU OF INVESTIGATION QUARTERLY SUPERVISORY SOURCE REPORT

OIA Authorizations (serialized during the QSSR period)

OIA Revocations (serialized during the QSSR period)

Has the CHS been involved in consensual monitoring during this review period?	Yes
Has the CHS agreed to testify and that agreement was documented in the CHS file?	No
Was SAC approval obtained?	No
In a criminal matter where there were no sensitive circumstances, was approval from a DOJ attorney (i.e., the AUSA) obtained?	N/A
In an investigation conducted pursuant to the NSIG, where there were no sensitive circumstances, was approval from the CDC or FBIHQ OGC obtained?	No
If sensitive circumstances existed, was approval from DOJ/OEO obtained through a field office lead to the FBIHQ substantive unit?	No
If exigent circumstances existed, was approval obtained from the SAC or ASAC and the appropriate FBIHQ substantive unit notified?	N/A
Is all required documentation (e.g., FD-472, 473, 473a, FD-1071) for consensual monitoring in the CHS file?	Yes
Has the CHS been involved in operational travel during this review period?	No
Has the CHS been involved in personal international travel during this review period?	Yes
Has the CHS Travel Authorization form been completed and have the appropriate LEGAT(s) been notified?	No
Has the CHS been approved for participation in a Group 1 UCO during this review period?	No
Has the CHS been approved for participation in a Group 2 UCO during this review period?	No
Have the CHS and Case Agent/Co-Case Agent communicated via email and/or text during this review period?	No
Has the CHS participated in any UDP during this review period?	No

SECTION II CERTIFICATION

I certify that all information contained in Section II has been reviewed and is accurate as of 03/01/2017	Yes

Explain any updates to Section II since the previous QSSR:
CHS was involved in a sensitive investigation. As part of the investigation, the CHS was consensually monitored by the FBI. The DAD overseeing the investigation was aware of the consensually monitored conversations.

PAYMENT MATTERS

Payments (Payment Date during the QSSR period)

Payment Date	Total Services	Expenses	Case Number	Request Serial
02/01/2017	25000.0000	0.0000	97F-NY-2067747	HALPER [redacted] CE-065

Payments Requests (Serialized during the QSSR period)

Serialized Date	Total	Case Number	Serial
01/11/2017	25000.0000	97F-NY-2067747	HALPER [redacted] CE-065

AUSA Coordination Letter - CHS Payments

[redacted]

[redacted]

FD-1040a	FEDERAL BUREAU OF INVESTIGATION QUARTERLY SUPERVISORY SOURCE REPORT

Serialized Date	Serial
No data provided.	

If an FPO attorney was involved in the conduct of the investigation, was his or her concurrence with the payment(s) obtained and documented in the CHS main file?	N/A
Have expenses, vendor receipts, copies, or the CHS's explanation of their absence been obtained and retained in the CHS file?	N/A
Have all payments been witnessed, and receipts signed, by an FBI special agent and another FBI special agent or government official?	N/A
Did the CHS signed or initialed, in true or payment name, and dated the receipt for payment, which is maintained in the CHS's file.	N/A
Have all payments been reconciled?	Yes

SECTION III CERTIFICATION

I certify that all information contained in Section III has been reviewed and is accurate as of 03/01/2017	Yes

Explain any updates to Section III since the previous QSSR:

ADMINISTRATION

Was SAC authorization obtained for any disclosure of the CHS's identity to non-DOJ personnel and documented in the CHS file?	N/A
Did the CHS engage in unauthorized criminal activity (does not include minor traffic offenses)?	No
Is the CHS currently or expected to become a subject of investigation or prosecution by an FPO or state or local prosecutor's office?	No
Has the CHS previously testified?	No
Is the CHS expected to testify?	No
Is the CHS involved in certain federal judicial proceedings?	No
Will the CHS potentially obtain or provide privileged or exculpatory information?	No
Has the CHS been or is expected to be named in an Electronic Surveillance Application?	No
Has the CHS provided information to support probable cause in a FISA application?	No
Were annual queries conducted of FBI universal and confidential indices and criminal history and ELSUR?	N/A
Was the CHS provided all applicable instructions, which were witnessed by an Agent and a government official, and documented in the CHS's file?	Yes
Last Admonishments Date: 08/19/2017	
Was the FOASR prepared, approved, and submitted by the ASAC?	Yes
If the CHS was operated for more than five consecutive years, qualifying as a Long-Term CHS, has this been noted in the FOASR so that FBIHQ can make the appropriate notification to DOJ?	Yes
Is the SSI, including the CPS and Special Criteria sections, current?	Yes

SECTION IV CERTIFICATION

I certify that all information contained in Section IV has been reviewed and is accurate as of 03/01/2017	Yes

[redacted]

[redacted]

FD-1040a	**FEDERAL BUREAU OF INVESTIGATION** QUARTERLY SUPERVISORY SOURCE REPORT

Explain any updates to Section IV since the previous QSSR:

CHS UTILITY VALUE SYSTEM

Stat Type	Total Count
No statistical accomplishments have been claimed for this period.	

Did the CHS provide actionable intelligence which was memorialized in a Source Reporting Document (Actionable intelligence is defined as inteIs lligence which generates investigative activity and/or responds to national or local collection requirements)? Yes

Does the CHS have value due to his/her unique or potential access to FBI priority targets or intelligence responsive to FBI national and/or local collection requirements? Yes

Productivity Value: [redacted]

Access: [redacted]

[redacted]

Access Value: [redacted]

CHS Utility Value: [redacted]

CHS PRIORITIZATION SYSTEM

Score: [redacted]

COMMENTS

Comments/Instructions:
CHS was an integral part of a close hold National Security investigation. CHS has been transferred to a new Case Agent and the CHS's focus will shift to other matters.

Workflow History

[redacted]

[redacted]

FD-1040a	FEDERAL BUREAU OF INVESTIGATION FIELD OFFICE ANNUAL SOURCE REPORT

HEADER

Source ID: HALPER [redacted]
Date: 04/20/2017
Case Agent Name: Non SES
Field Office/Division: New York
Squad: CD 10
Classified By: [redacted]
Derived From: [redacted]
Declassify On: [redacted]

ADMINISTRATIVE

Legacy Case File Number:
Legacy Symbol Number:
Co-Case Agent(s): Non SES
From: 03/27/2016
To: 03/26/2017
Date of Last FOASR:
Gender: [redacted]
SSN: [redacted]
Alias SSN(s):
DOB: [redacted]
Alias DOB(s):
Country(ies) of Citizenship: UNITED STATES, UNITED STATES
Does the CHS live in, or is he/she operated in the United States?
Race: [redacted]
Language(s) Spoken:
Primary language used during debriefing, if a translator was used, and frequency of use:
English
Prior operational periods of the CHS by the FBI (start with the most recent).

Opened: 03/24/2011, 12/18/2008
Closed: 01/25/2011

Programs to which the CHS has contributed during the review period.

Has any of the CHS's information been provided to an AUSA or DOJ attorney during the review period, for use in furtherance of a prosecution or in a court document? No

[redacted]

[redacted]

FD-1040a	**FEDERAL BUREAU OF INVESTIGATION** FIELD OFFICE ANNUAL SOURCE REPORT

CHS PRIORITIZATION SYSTEM

Highly paid – review period (> $100,000 in the review period). No

Amount paid includes payments from CHS funds, case funds, other agencies (if known, etc.)

Amount Paid During Review Period: $70,000.00

Amount Paid During Review Period (non-FBI money): $0.00

Highly paid – cumulative (>= $250,000 over entire CHS operation). Yes

Amount paid includes payments from CHS funds, case funds, other agencies (if known, etc.).

Cumulative Amount Paid: $596,906.60

Cumulative Amount Paid (non-FBI money): $0.00

If funds other than those calculated above were used, please provide details.

Defector. No

Recruitment-in-Place or Double Agent. No

Are there reasonable grounds to believe the CHS engaged in Unauthorized Illegal Activity (UIA), other than minor traffic offenses per CHSPG, within the review period? No

CHS who resides outside the United States. Yes

Please provide details (city, state/region, country, etc):
CHS resides in the UK for several months during the year as part of the CHS' position. Cambridge, United Kingdom.

Any international travel during the review period? Yes

Travel Start Date	Travel End Date	Countries	Travel Type
No data provided.			

Please provide any additional details, including type and frequency of travel:
Please see attached travel history Excel file. A review of the CHS' travel history was consistent with prior travel. CHS travels frequently for personal and professional reasons.

Former or current subject of FBI investigation(s). No

CHS subjected to polygraph with inconclusive and/or deceptive results at any time. No

CHS who was ever Closed for Cause by the FBI or any other agency. (Note: Other agencies may not refer to this closure as such; however, if another agency closed the CHS for one of the below reasons, it would be considered as closing for Cause.) Yes

Indicate Reason(s) (per CHSPG):
Violated Instructions

Please provide details:
Since the reopening, the CHS has complied with all instructions given to him by the FBI.

[redacted]

[redacted]

FD-1040a	FEDERAL BUREAU OF INVESTIGATION FIELD OFFICE ANNUAL SOURCE REPORT

CHS who has ever received immigration benefits from the FBI and/or the USIC for him/her and/or his/her family. No

Score: [redacted]

SPECIAL CRITERIA

Long-Term CHS (5+ consecutive years). Yes

Is the CHS a Criminal and/or National Security CHS? Yes

Select all that apply: National Security CHS

Has the CHS been operated by the same Case Agent for 5+ years? No

Please provide details:
CHS was an integral part of a close hold National Security investigation. CHS was recently transferred to a new Case Agent and the CHS's focus will shift to other matters.

Senior Leadership CHS – CHS who is in a position to exercise significant decision-making authority over, or to otherwise manage and direct the unlawful activities of the participants in a group or organization involved with unlawful activities that are nationwide or international in scope or deemed to be of high significance to the FBI's criminal investigative priorities, even if the unlawful activities are local or regional in scope. No

High-Level Government or Union CHS– CHS is either (a) the chief executive, the office next in succession to the chief executive, or a member of the legislature of the federal or a state government, or (b) a president, secretary-treasurer, or vice-president of an international labor union or the principal officer or officers of a subordinate regional entity of an international or national labor union. No

Privileged (Attorney, Physician, or Clergy) and/or Media CHS. No

CHS involved in Group I and/or Group II Undercover Operation (UCO) and/or Asset Business Enterprise during the review period. No

CHS participated in Tier I and/or Tier II Otherwise Illegal Activity (OIA) within the review period. Do not check if OIA is solely for consensual monitoring in two-party states. No

Within the review period, was the CHS involved in an operation using sophisticated techniques, other than Tier I/II OIA, Group I/II UCO, or Asset Business Enterprise activities? No

Opened three or more times. No

CHS subjected to Level 1 and/or Level 2 Operational Test at any time. Yes

Please select the type of test(s):
Level 2

Please provide details (and/or Delta Serial Number):
CHS passed Level 2 Ops test.

Have ANY intelligence products (IIR, SIR, IA, IB, etc) been generated as a result of the CHS's reporting? No

CHS with 3+ intelligence products (IIR, SIR, IA, IB, etc) and/or 2+ intelligence products which led to investigative action or contained singular information (within review period) No

CHS responsible for recruitment of another CHS. No

[redacted]

[redacted]

FD-1040a	FEDERAL BUREAU OF INVESTIGATION FIELD OFFICE ANNUAL SOURCE REPORT

Please provide S number(s) of CHS(s) recruited and S number(s) of any other CHSs affiliated with the CHS. Please describe the nature of the relationship(s) between the CHS and the CHS(s) recruited CHS(s) with whom the CHS is affiliated:

Is the CHS a former or current jointly operated CHS? No

Has the CHS ever met with, been debriefed by, or been operated by another agency on separate matters? No

Has the CHS ever been affiliated with a foreign intelligence service, known terrorist group, or criminal organization? No

How did the CHS come to the attention of the FBI?
Other

Please specify:
previously utilized by FBI, circa 2008

If the CHS was brought to the FBI by a non-DBI member, name the agency that brought the CHS.

Is the CHS being utilized jointly with another FBI Field Office/Field Division? No

Has the CHS or his/her associates (e.g., relatives, former employees, etc.) ever had any other affiliations with another agency? No

Has the CHS been the subject of U.S. and/or foreign legal proceedings (e.g. arrested, cited, or detained for any reason)? No

Source of Income, Amount and Frequency (e.g. Monthly)
No data provided.

Asset and Value
No data provided.

Debt and Amount
No data provided.

HISTORY OF ASSOCIATION WITH THE FBI

Name	Role	Field Office/Division	Date Assigned	Date Removed
Non SES [redacted]	Case Agent	New York	03/26/2014	03/26/2014
Non SES [redacted]	Co-Case Agent	New York	03/08/2017	
Non SES [redacted]	Case Agent	New York	11/09/2016	03/08/2017
SOMMA, STEPHEN M.	Case Agent	New York	03/26/2014	10/21/2015
Non SES [redacted]	Case Agent	New York	10/21/2013	10/21/2013
SOMMA, STEPHEN M.	Case Agent	New York	10/21/2013	
Non SES [redacted]	Case Agent	New York	03/08/2017	
Non SES [redacted]	Co-Case Agent	New York	03/10/2014	03/25/2014

[redacted]

[redacted]

FD-1040a	FEDERAL BUREAU OF INVESTIGATION FIELD OFFICE ANNUAL SOURCE REPORT			
Non SES [redacted]	Case Agent	New York	10/21/2015	11/09/2016
Non SES [redacted]	Co-Case Agent	New York	10/21/2015	11/09/2016
Non SES [redacted]	Co-Case Agent	New York	08/21/2013	03/10/2014
Non SES [redacted]	Co-Case Agent	New York	10/21/2015	
Non SES [redacted]	Co-Case Agent	New York	03/25/2014	10/21/2015
Non SES [redacted]	Co-Case Agent	New York	05/18/2012	08/21/2013
Non SES [redacted]	Co-Case Agent	New York	03/10/2014	
SOMMA, STEPHEN M.	Co-Case Agent	New York	10/28/2015	03/08/2017
SOMMA, STEPHEN M.	Case Agent	New York	03/26/2014	
SOMMA, STEPHEN M.	Case Agent	New York	09/20/2012	
Non SES [redacted]	Case Agent	New York	05/18/2012	09/20/2012
Non SES [redacted]	Co-Case Agent	New York	10/21/2015	10/21/2015
Non SES [redacted]	Case Agent	New York	09/20/2012	09/20/2012

Explain reason for change in primary handlers, if within review period, and if known:

Problems encountered during review period either meeting or handling the CHS:
No problems have been encountered.`

MOTIVATION

Describe the CHS's motivations for providing information to the FBI and how this was determined:
Monetary compensation, patriotism/ideology

Have the CHS's motivations changed during the review period? No

PAYMENTS

Total Payments for Reporting Period

By FBI: $70,000.00

By Other Agencies: $0.00

Lifetime Aggregate Payments

By FBI: $596,906.60

By Other Agencies: $0.00

During the review period, was the CHS ever paid without another FBI special agent or government official present? No

Was SAC authorization obtained and documented?

Identify any benefits the CHS received during the period other than money not already covered by question III-A (e.g., sentencing benefits or immigration benefits)
None

[redacted]

[redacted]

FD-1040a	FEDERAL BUREAU OF INVESTIGATION FIELD OFFICE ANNUAL SOURCE REPORT

Describe any instance in which the CHS requested special favors, consideration, or treatment, including, but not limited to

1. **Assistance for the CHS's family members**
2. **Intervention by the FBI in business, employment, or civil matters**

None

REPORTING

Substantive Case File Number: [redacted] 97F-HQ-2067748, [redacted] 97F-HQ-2063661, [redacted], 97F-NY-2067747, [redacted]

Below are stats claimed during the review period relevant to validation:

Stat	Reporting Document
No data provided.	

TIMELINESS OF INFORMATION

Describe whether the CHS's information was provided promptly and consistently with the CHS's access; whether the CHS reporting was delayed; or whether the information was historical in nature.

All information was provided promptly and consistently.

ACCESS

The CHS's access is: Direct

Describe the CHS's access to information:

Is the information obtained through a sub-source? No

Describe any significant changes during the review period of the CHS's targets or the CHS's access to information:
None

CORROBORATION

Discuss instances in which the CHS's reporting was verified by circumstances or other intelligence:
None

Discuss instances in which the CHS's reporting was not consistent with the facts and the impact of each reporting instance:
Has not happened

RELIABILITY EVALUATION

Discuss any reason to doubt this CHS's identity:
None

Cite Case Agent observations of the CHS's behavior:
CHS behavior has been excellent. The CHS has agreed to assist the case agent in the goals of the investigation. The CHS has devoted significant time and energy to assisting the FBI in it's goals.

[redacted]

[redacted]

FD-1040a	FEDERAL BUREAU OF INVESTIGATION FIELD OFFICE ANNUAL SOURCE REPORT

Discuss any handling problems including any instances in which the CHS objected to any operational tasking:
CHS has not objected to any operational taskings.

Describe any information not previously provided that could reasonably be construed as derogatory. This should include information from other CHS reporting, substantive case documents, other agency reporting, and statements made by the CHS. Ensure that all available information that might materially alter a prior validation assessment, including information pertaining to unauthorized illegal activity by the CHS is included.
None

Describe any of the CHS's character traits, habits, or weaknesses that may impact the CHS's reporting
No known character traits, habits or weaknesses are known.

Describe any indications of undisclosed control by an entity or individual other than the FBI (e.g., counterintelligence concerns, criminal organization interests, etc).
There are no concerns.

Is the CHS reasonably believed to be the subject or target of a pending criminal investigation, is under arrest, or has been charged in a pending prosecution? No

Are current criminal history checks contained in the CHS's file? Yes

Date of Record Checks
04/19/2017

Were any new references obtained from FBI database checks during this review period? No

CHS UTILITY VALUE SYSTEM

QSSR Period 1	QSSR Period 2	QSSR Period 3	QSSR Period 4
[redacted]	[redacted]	[redacted]	[redacted]
03/03/2016 - 06/01/2016	06/02/2016 - 08/31/2016	09/01/2016 - 11/30/2016	12/01/2016 - 03/01/2017

Average CHS Utility Value = [redacted]

COMMENTS

Author's Comments:
CHS has provided the FBI with unique access to targets who are very difficult to gain access to. The CHS has been more than willing to do whatever she/he could do in order to assist the FBI in its mission. Since re-opening, the CHS has complied with all requests by the FBI and has offered to assist in other investigations, which he has, most notably in another important National Security Investigation.

SIGNATURE

Submitted By	Non SES	Mon, 24 Apr 2017 13:42:47 -0400
First Level Approved By	SMSOMMA (STEPHEN SOMMA)	Mon, 24 Apr 2017 16:36:42 -0400
Second Level Approved By	Non SES	Tue, 2 May 2017 08:57:11 -0400

[redacted]

[redacted]

FD-1040a	FEDERAL BUREAU OF INVESTIGATION QUARTERLY SUPERVISORY SOURCE REPORT

HEADER

Source ID:	HALPER [redacted]
Date:	06/20/2017
Case Agent Name:	Non SES [redacted]
Field Office/Division:	New York
Squad:	CD 10
Classified By:	[redacted]
Derived From:	[redacted]
Declassify On:	[redacted]

PERIOD COVERED BY THIS REPORT

From:	03/02/2017
To:	05/31/2017

OPENING/REOPENING

Have checks of NCIC, ELSUR, Sentinel, and confidential indices been conducted with results attached?	No
Has the SSI, including the CPS and Special Criteria sections, been reviewed or updated?	Yes
Have all appropriate instructions been given to the CHS, witnessed, and documented prior to utilization and no later than 90 days after the opening?	Yes

Initial Admonishment Date: 02/01/2012

Have agent assignments been reviewed and deemed appropriate for the operation of this source? (And have co-case agents from outside agencies been advised of relevant FBI policies?)	Yes

SPECIAL APPROVAL CATEGORIES

Is the CHS in a special approval category (Senior Leadership, High-Level Union Officials, High-Level Government Officials, Attorneys, Physicians, Clergy, Employees of the Media, or Long-Term)?	No

SENSITIVE CATEGORIES

Is the CHS in a sensitive CHS category?	No

SECTION I CERTIFICATION

I certify that all information contained in Section I has been reviewed and is accurate as of 05/31/2017	Yes

Explain any updates to Section I since the previous QSSR:

OPERATIONAL MATTERS

Date of Last Reporting:

Has the CHS been involved in Otherwise Illegal Activity (OIA) during this review period?	No

[redacted]

[redacted]

FD-1040a	FEDERAL BUREAU OF INVESTIGATION QUARTERLY SUPERVISORY SOURCE REPORT

OIA Authorizations (serialized during the QSSR period)

OIA Revocations (serialized during the QSSR period)

Has the CHS been involved in consensual monitoring during this review period?	No
Has the CHS been involved in operational travel during this review period?	No
Has the CHS been involved in personal international travel during this review period?	No
Has the CHS been approved for participation in a Group 1 UCO during this review period?	No
Has the CHS been approved for participation in a Group 2 UCO during this review period?	No
Have the CHS and Case Agent/Co-Case Agent communicated via email and/or text during this review period?	No
Has the CHS participated in any UDP during this review period?	No

SECTION II CERTIFICATION

I certify that all information contained in Section II has been reviewed and is accurate as of 05/31/2017	Yes

Explain any updates to Section II since the previous QSSR:

PAYMENT MATTERS

Payments (Payment Date during the QSSR period)

Payment Date	Total Services	Expenses	Case Number	Request Serial
No data provided.				

Payments Requests (Serialized during the QSSR period)

Serialized Date	Total	Case Number	Serial
No data provided.			

AUSA Coordination Letter - CHS Payments

Serialized Date	Serial
No data provided.	

If an FPO attorney was involved in the conduct of the investigation, was his or her concurrence with the payment(s) obtained and documented in the CHS main file?	N/A
Have expenses, vendor receipts, copies, or the CHS's explanation of their absence been obtained and retained in the CHS file?	N/A
Have all payments been witnessed, and receipts signed, by an FBI special agent and another FBI special agent or government official?	N/A
Did the CHS signed or initialed, in true or payment name, and dated the receipt for payment, which is maintained in the CHS's file.	N/A
Have all payments been reconciled?	Yes

SECTION III CERTIFICATION

I certify that all information contained in Section III has been reviewed and is accurate as of 05/31/2017	Yes

[redacted]

[redacted]

FD-1040a	FEDERAL BUREAU OF INVESTIGATION QUARTERLY SUPERVISORY SOURCE REPORT

Explain any updates to Section III since the previous QSSR:

ADMINISTRATION	
Was SAC authorization obtained for any disclosure of the CHS's identity to non-DOJ personnel and documented in the CHS file?	N/A
Did the CHS engage in unauthorized criminal activity (does not include minor traffic offenses)?	No
Is the CHS currently or expected to become a subject of investigation or prosecution by an FPO or state or local prosecutor's office?	No
Has the CHS previously testified?	No
Is the CHS expected to testify?	No
Is the CHS involved in certain federal judicial proceedings?	No
Will the CHS potentially obtain or provide privileged or exculpatory information?	No
Has the CHS been or is expected to be named in an Electronic Surveillance Application?	No
Has the CHS provided information to support probable cause in a FISA application?	No
Were annual queries conducted of FBI universal and confidential indices and criminal history and ELSUR?	N/A
Was the CHS provided all applicable instructions, which were witnessed by an Agent and a government official, and documented in the CHS's file?	Yes
Last Admonishments Date: 05/11/2015	
Was the FOASR prepared, approved, and submitted by the ASAC?	Yes
If the CHS was operated for more than five consecutive years, qualifying as a Long-Term CHS, has this been noted in the FOASR so that FBIHQ can make the appropriate notification to DOJ?	Yes
Is the SSI, including the CPS and Special Criteria sections, current?	Yes

SECTION IV CERTIFICATION	
I certify that all information contained in Section IV has been reviewed and is accurate as of 05/31/2017	Yes

Explain any updates to Section IV since the previous QSSR:

CHS UTILITY VALUE SYSTEM	
Is there justification for no contact with CHS during review period?	Yes

Justification:
CHS unavailable

Productivity Value:

Access:

Access Value:

CHS Utility Value:

[redacted]

[redacted]

FD-1040a	**FEDERAL BUREAU OF INVESTIGATION** QUARTERLY SUPERVISORY SOURCE REPORT

No statistical accomplishments have been claimed for this period.

CHS Utility Value = CHS NOT EVALUATED

CHS PRIORITIZATION SYSTEM

Score: [redacted]

COMMENTS

Comments/Instructions:
CHS has been valuable on a variety of cases. CHS will be providing assistance to CD-10 on [redacted] related matters going forward. Continue to operate as needed

Workflow History

[redacted]

FD-1040a	**FEDERAL BUREAU OF INVESTIGATION** QUARTERLY SUPERVISORY SOURCE REPORT

HEADER

Source ID: HALPER
Date: 10/20/2020
Case Agent Name: Non SES
Field Office/Division: New York
Squad: CD 10

PERIOD COVERED BY THIS REPORT

From: 05/28/2020
To: 08/26/2020

OPENING/REOPENING

Have checks of NCIC, ELSUR, Sentinel, and confidential indices been conducted with results attached? No

Has the SSI, including the CPS and Special Criteria sections, been reviewed or updated? No

The SSI is required to be reviewed or updated every 90 days.

Have all appropriate instructions been given to the CHS, witnessed, and documented prior to utilization and no later than 90 days after the opening? Yes

Initial Admonishment Date: 02/01/2012

Have agent assignments been reviewed and deemed appropriate for the operation of this source? (And have co-case agents from outside agencies been advised of relevant FBI policies?) Yes

SPECIAL APPROVAL CATEGORIES

Is the CHS in a special approval category (Senior Leadership, High-Level Union Officials, High-Level Government Officials, Attorneys, Physicians, Clergy, Employees of the Media, or Long-Term)? Yes

Is the CHS reporting on Criminal Matters? No

SENSITIVE CATEGORIES

Is the CHS in a sensitive CHS category? No

SECTION I CERTIFICATION

I certify that all information contained in Section I has been reviewed and is accurate as of 08/26/2020 Yes

Explain any updates to Section I since the previous QSSR:

OPERATIONAL MATTERS

Date of Last Reporting:

Has the CHS been involved in Otherwise Illegal Activity (OIA) during this review period? No

OIA Authorizations (serialized during the QSSR period)

OIA Revocations (serialized during the QSSR period)

FD-1040a	FEDERAL BUREAU OF INVESTIGATION QUARTERLY SUPERVISORY SOURCE REPORT

Has the CHS been involved in consensual monitoring during this review period?	No
Has the CHS been involved in operational travel during this review period?	No
Has the CHS been involved in personal international travel during this review period?	No
Has the CHS been approved for participation in a Group 1 UCO during this review period?	No
Has the CHS been approved for participation in a Group 2 UCO during this review period?	No
Have the CHS and Case Agent/Co-Case Agent communicated via email and/or text during this review period?	No
Has the CHS participated in any UDP during this review period?	No

SECTION II CERTIFICATION

I certify that all information contained in Section II has been reviewed and is accurate as of 08/26/2020	Yes

Explain any updates to Section II since the previous QSSR:

PAYMENT MATTERS

Payments (Payment Date during the QSSR period)

Payment Date	Total Services	Expenses	Case Number	Request Serial
No data provided.				

Payments Requests (Serialized during the QSSR period)

Serialized Date	Total	Case Number	Serial
No data provided.			

AUSA Coordination Letter - CHS Payments

Serialized Date	Serial
No data provided.	

If an FPO attorney was involved in the conduct of the investigation, was his or her concurrence with the payment(s) obtained and documented in the CHS main file?	N/A
Have expenses, vendor receipts, copies, or the CHS's explanation of their absence been obtained and retained in the CHS file?	Yes
Have all payments been witnessed, and receipts signed, by an FBI special agent and another FBI special agent or government official?	Yes
Did the CHS signed or initialed, in true or payment name, and dated the receipt for payment, which is maintained in the CHS's file.	Yes
Have all payments been reconciled?	Yes

SECTION III CERTIFICATION

I certify that all information contained in Section III has been reviewed and is accurate as of 08/26/2020	Yes

Explain any updates to Section III since the previous QSSR:

ADMINISTRATION

FD-1040a	FEDERAL BUREAU OF INVESTIGATION QUARTERLY SUPERVISORY SOURCE REPORT

Was SAC authorization obtained for any disclosure of the CHS's identity to non-DOJ personnel and documented in the CHS file?	N/A
Did the CHS engage in unauthorized criminal activity (does not include minor traffic offenses)?	No
Is the CHS currently or expected to become a subject of investigation or prosecution by an FPO or state or local prosecutor's office?	No
Has the CHS previously testified?	No
Is the CHS expected to testify?	No
Is the CHS involved in certain federal judicial proceedings?	No
Will the CHS potentially obtain or provide privileged or exculpatory information?	No
Has the CHS been or is expected to be named in an Electronic Surveillance Application?	No
Has the CHS provided information to support probable cause in a FISA application?	No
Were annual queries conducted of FBI universal and confidential indices and criminal history and ELSUR?	No
Was the CHS provided all applicable instructions, which were witnessed by an Agent and a government official, and documented in the CHS's file?	Yes
Last Admonishments Date: 09/22/2017	
Was the FOASR prepared, approved, and submitted by the ASAC?	Yes
If the CHS was operated for more than five consecutive years, qualifying as a Long-Term CHS, has this been noted in the FOASR so that FBIHQ can make the appropriate notification to DOJ?	Yes
Is the SSI, including the CPS and Special Criteria sections, current?	No

The SSI is required to be reviewed or updated every 90 days.

SECTION IV CERTIFICATION

I certify that all information contained in Section IV has been reviewed and is accurate as of 08/26/2020	Yes

Explain any updates to Section IV since the previous QSSR:

CHS UTILITY VALUE SYSTEM

Is there justification for no contact with CHS during review period?	Yes

Justification:
Case Agent TDY or otherwise unavailable

Productivity Value:

Access:

Access Value:

CHS Utility Value:

No statistical accomplishments have been claimed for this period.

CHS Utility Value = CHS NOT EVALUATED

FD-1040a	**FEDERAL BUREAU OF INVESTIGATION** QUARTERLY SUPERVISORY SOURCE REPORT

CHS PRIORITIZATION SYSTEM

Score: [redacted]

COMMENTS

Comments/Instructions:
CHS is being closed at the direction or FBI HQ-Counterintelligence Division.

Workflow History

[redacted]

FD-1023	FEDERAL BUREAU OF INVESTIGATION CHS REPORTING DOCUMENT	OFFICIAL RECORD

HEADER

Source ID: HALPER [redacted]
Date: 08/11/2016
Case Agent Name: Non SES [redacted]
Field Office/Division: New York
Squad: CD 8
Classified By: [redacted]
Derived From: [redacted]
Declassify On: [redacted]

SOURCE REPORTING

Date of Contact: 08/11/2016

List all present including yourself (do not include the CHS):
SA Benjamin E. Gessford
SOS Non SES [redacted]
SA Stephen M. Somma

Type of Contact: In Person

Country: UNITED STATES
City: [redacted]
State: Virginia

Date of Report: 08/11/2016

Substantive Case File Number
97F-HQ-2063661

Check here if additional reporting is in Echo
No

Source Reporting:
[redacted] SA Benjamin E. Gessfort, SOS Non SES [redacted] and SA Stephen M. Somma met with the CHS regarding CROSSFIRE HURRICANE targets. Due to the sensitivities of the investigation, a debriefing document will be written under separate cover and filed in the CROSSFIRE HURRICANE file.

SIGNATURE

Submitted By	SMSOMMA (STEPHEN SOMMA)	Thu, 11 Aug 2016 17:14:33 -0400
First Level Approved By	Non SES [redacted]	Fri, 12 Aug 2016 08:56:35 -0400

[redacted]

[redacted]

FD-1023	**FEDERAL BUREAU OF INVESTIGATION** CHS REPORTING DOCUMENT	OFFICIAL RECORD

HEADER

Source ID: HALPER[redacted]
Date: 08/17/2016
Case Agent Name: [redacted]
Field Office/Division: New York
Squad: CD 8
Classified By: [redacted]
Derived From: [redacted]
Declassify On: [redacted]

SOURCE REPORTING

Date of Contact: 08/16/2016

List all present including yourself (do not include the CHS):
SA Benjamin Gessford
SA Stephen M. Somma

Type of Contact: In Person

Country: UNITED STATES
City: [redacted]
State: Virginia

Date of Report: 08/16/2016

Substantive Case File Number
97F-HQ-2063661

Check here if additional reporting is in Echo
No

Source Reporting:
[redacted] CHS was prepped for an operation regarding the CROSSFIRE HURRICANE investigation, a close hold investigation.

SIGNATURE

Submitted By	SMSOMMA (STEPHEN SOMMA)	Wed, 17 Aug 2016 16:37:55 -0400
First Level Approved By	[redacted]	Thu, 18 Aug 2016 09:16:24 -0400

[redacted]

[redacted]

FD-1023	**FEDERAL BUREAU OF INVESTIGATION** CHS REPORTING DOCUMENT	OFFICIAL RECORD

HEADER

Source ID: HALPER [redacted]
Date: 09/05/2016
Case Agent Name: [redacted]
Field Office/Division: New York
Squad: CD 8
Classified By: [redacted]
Derived From: [redacted]
Declassify On: [redacted]

SOURCE REPORTING

Date of Contact: 09/01/2016

List all present including yourself (do not include the CHS):
SA Benjamin E. Gessford
SA Stephen M. Somma
SOS [redacted]

Type of Contact: In Person

Country: UNITED STATES
City: [redacted]
State: Virginia

Date of Report: 09/05/2016

Substantive Case File Number
97F-HQ-2063661

Check here if additional reporting is in Echo
No

Source Reporting:
[redacted] Due to the sensitivity of the investigation, the writeup is in the CROSSFIRE HURRICANE FILE.

SIGNATURE

Submitted By	SMSOMMA (STEPHEN SOMMA)	Mon, 5 Sep 2016 18:05:10 -0400
First Level Approved By	[redacted]	Tue, 6 Sep 2016 15:29:51 -0400

[redacted]

[redacted]

FD-1023	**FEDERAL BUREAU OF INVESTIGATION** CHS REPORTING DOCUMENT	OFFICIAL RECORD

HEADER

Source ID: HALPER [redacted]
Date: 02/27/2017
Case Agent Name: Non SES [redacted]
Field Office/Division: New York
Squad: CD 8
Classified By: [redacted]
Derived From: [redacted]
Declassify On: [redacted]

SOURCE REPORTING

Date of Contact: 02/01/2017

List all present including yourself (do not include the CHS):
SSA Non SES [redacted]

Type of Contact: In Person

Country: UNITED STATES
City: [redacted]
State: Virginia

Date of Report: 02/27/2017

Substantive Case File Number

97F-NY-2067747

Check here if additional reporting is in Echo
No

Source Reporting:
[redacted] CHS discussed potential operations against the subject of the investigation.

[redacted] SA Somma also presented the CHS with $25,000 for CHS services, the signed receipt is attached.

SIGNATURE

Submitted By	SMSOMMA (STEPHEN SOMMA)	Mon, 27 Feb 2017 14:24:51 -0500
First Level Approved By	Non SES [redacted]	Mon, 27 Feb 2017 14:25:17 -0500

[redacted]

[redacted]

FD-1023	FEDERAL BUREAU OF INVESTIGATION CHS REPORTING DOCUMENT	OFFICIAL RECORD

HEADER

Source ID: HALPER [redacted]
Date: 02/27/2017
Case Agent Name: Non SES [redacted]
Field Office/Division: New York
Squad: CD 8
Classified By: [redacted]
Derived From: [redacted]
Declassify On: [redacted]

SOURCE REPORTING

Date of Contact: 01/25/2017

List all present including yourself (do not include the CHS):
SSA Non SES [redacted]
SA Stephen M. Somma

Type of Contact: In Person

Country: UNITED STATES
City: [redacted]
State: District of Columbia

Date of Report: 02/27/2017

Substantive Case File Number

97F-NY-2067747

Check here if additional reporting is in Echo
No

Source Reporting:
[redacted] CHS was met by writer and SSA Non SES [redacted] at the Sofitel Hotel. CHS was meeting with the subject of the investigation. CHS met with writer and SSA Non SES [redacted] prior to a meeting with the subject and was recovered by the same team approximately 2 hours later. Due to the sensitivity of the investigation, a write-up of the meeting will be placed in the case file.

SIGNATURE

Submitted By	SMSOMMA (STEPHEN SOMMA)	Mon, 27 Feb 2017 14:35:38 -0500
First Level Approved By	Non SES [redacted]	Mon, 27 Feb 2017 14:51:43 -0500

[redacted]

[redacted]

FD-1023	FEDERAL BUREAU OF INVESTIGATION CHS REPORTING DOCUMENT	OFFICIAL RECORD

HEADER

Source ID: HALPER [redacted]
Date: 03/01/2017
Case Agent Name: Non SES [redacted]
Field Office/Division: New York
Squad: CD 8
Classified By: [redacted]
Derived From: [redacted]
Declassify On: [redacted]

SOURCE REPORTING

Date of Contact: 03/01/2017

List all present including yourself (do not include the CHS):
SA Stephen M. Somma

Type of Contact: In Person

Country: UNITED STATES
City: [redacted]
State: Virginia

Date of Report: 03/01/2017

Substantive Case File Number
[redacted]
Substantive Case File Number
97F-NY-2067747

Check here if additional reporting is in Echo
No

Source Reporting:
[redacted] CHS informed SA Somma that he had been contacted by a reporter from the Wall Street Journal regarding Russian Influence at the CHS' university. The reporter was following-up on an article written earlier in the year about the same subject.

[redacted]

[redacted] Other topics were discussed regarding a sensitive investigation, which will be written in the case file.

SIGNATURE

Submitted By SMSOMMA (STEPHEN SOMMA) Wed, 1 Mar 2017 11:29:13 -0500
First Level Approved By Non SES [redacted] Wed, 1 Mar 2017 13:16:16 -0500

[redacted]

[redacted]

FD-794b	**FEDERAL BUREAU OF INVESTIGATION** PAYMENT REQUEST

HEADER

Source ID: HALPER [redacted]
Date: 08/15/2016
Case Agent Name: Non SES [redacted]
Field Office/Division: New York
Squad: CD 8
Classified By: [redacted]
Derived From: [redacted]
Declassify On: [redacted]

PAYMENT REQUEST

Payment Request Form Type: General
Official Bureau Name: SOMMA, STEPHEN
UEID: [redacted]
Phone Number:
Payee Name: SOMMA, STEPHEN
UEID: [redacted]
Payment Name: MITCH

Enclosures:

Include if payment is for reimbursement of case-related expenditures made by the CHS.

Enclosed are receipts for expenses incurred by the Confidential Human Source (CHS) at the behest of the FBI, which are being reimbursed as expenses. (or) Enclosed is a statement documenting the amount spent by the CHS, the date(s) and the reason(s) why a receipt could not be obtained.

CHS was opened/re-opened on: 03/24/2011
Aggregate Total Paid: $526,906.60
Total Paid since re-open (if needed): $181,643.51
Total Paid in Current FY: $0.00

Is this payment for medical expenses? No

Is the CHS expected to testify? No

Financial Justification

[redacted]

[redacted]

FD-794b	FEDERAL BUREAU OF INVESTIGATION PAYMENT REQUEST

Agents should utilize this block to document the operational necessity for expenditures (i.e. costs associated with a CHS debrief; utilization of Undercover Credit Card for CHS expenses; agent exceeding per diem allowance; alcohol consumption by agent) which normally would require separate SAC/ASAC approval. See Confidential Funding Guide for other expenditures. Specific operational details should not be entered here.

[redacted] CHS has been integral in the CROSSFIRE HURRICANE investigation. Due to the fact the the case is close hold, please contact SA Somma with any questions. Current payment is for CHS' time in assisting with the CH investigation.

The Attorney General's Guidelines dated 12/13/2006 require that the payment of any FBI funds to a CHS be made by an FBI Agent and another government official. However, in extraordinary circumstances, the SAC is authorized to approve an exemption to this requirement. This allows the FBI Agent to be the payer and only witness.

Is SAC approval for an exemption to the second witness requirement requested for this payment? No

Period covered for this payment:

Begin: 08/11/2016 **End:** 08/19/2016

Payment Request Amount		
Substantive Case File Number	**Financial Program**	**Financial Subprogram**
97F-HQ-2063661	[redacted]	[redacted]
Payment Description	**Expense Incurred By**	**Payment Amount**
CHS Services	CHS Service	$5,000.00

Operational Payment justification narrative:

[redacted] During the time period covered for the payment, the CHS has been integral in the CROSSFIRE HURRICANE (CH) investigation. The CHS is being paid for her/his services.

Total CHS Services: $5,000.00

Total CHS Expenses: $0.00

Total Agent Expenses: $0.00

SIGNATURE		
Submitted By	SMSOMMA (STEPHEN SOMMA)	Mon, 15 Aug 2016 15:29:45 -0400
First Level Approved By	Non SES [redacted]	Mon, 15 Aug 2016 15:57:12 -0400
Second Level Approved By	Non SES [redacted]	Mon, 15 Aug 2016 16:33:19 -0400

[redacted]

FD-794b	FEDERAL BUREAU OF INVESTIGATION PAYMENT REQUEST

DRAFT TYPE

Request Type: General **Payment Type:** Confidential **Forfeiture or Drug Related:** No

INFORMATION ABOUT THE REQUESTING EMPLOYEE

Official Bureau Name	UEID	Date of Request
SOMMA, STEPHEN	[redacted]	08/15/2016

Field Office	Squad	User Dimension 4	Telephone Number
New York	CD 8		

INFORMATION ABOUT THE REQUESTED PAYEE

Payee Name	UEID
SOMMA, STEPHEN	[redacted]

Is this a one-time non-symbol source payment? No

Source Number	Serial Number	Payment Name	Date of Approved Waiver Request	Period Covered From	Period Covered To
HALPER	[redacted] CE-060	MITCH		08/11/2016	08/19/2016

Financial Justification: [redacted] CHS has been integral in the CROSSFIRE HURRICANE investigation. Due to the fact the the case is close hold, please contact SA Somma with any questions. Current payment is for CHS' time in assisting with the CH investigation.

DETAILS ABOUT THE REQUESTED DRAFT

Attributable to Agent or CHS	Catalog	File No.	Financial Program/Subprogram	Amount
		97F-HQ-2063661	[redacted]	
CHS Service		**Description:**	CHS Services	$5,000.00
			Total For 97F-HQ-2063661	$5,000.00
			Total	**$5,000.00**

ACCOUNTING DETAILS

Org Level 2	Fund	SOC	Sub SOC	BBFY	EBFY	Partition	User Dimension 5
						FBI	

[redacted]

FD-794b	FEDERAL BUREAU OF INVESTIGATION PAYMENT REQUEST

FINANCE OFFICE ONLY - SETTLEMENT OF ADVANCE

Document No.:	Creator Date	Creator Initials	FOS Approver Date	FOS Approver Initials
Commitment:				
Obligation:				
Advance:				
Expense:				
Cash Receipt:				
Cash Receipt – OTCnet (CRO):				
OTCnet Deposit Ticket #:				
Debit/Draft/EFT No.:				

Prior Month Advance Balance: _____

This Advance: _____

Less Receipts: _____

Funds Returned and/or Cash on Hand: _____

Amount to be Reimbursed: _____

APPROVAL

	Name	Date/Time
Approved By SSA:	Non SES	8/15/2016 3:57:18 PM
Certified by ASAC:	Non SES	8/15/2016 4:33:30 PM
Draft Approval Officer:		

[redacted]

[redacted]

FD-794b	**FEDERAL BUREAU OF INVESTIGATION** PAYMENT REQUEST

HEADER

Source ID: HALPER [redacted]
Date: 08/30/2016
Case Agent Name: Non SES [redacted]
Field Office/Division: New York
Squad: CD 8
Classified By: [redacted]
Derived From: [redacted]
Declassify On: [redacted]

PAYMENT REQUEST

Payment Request Form Type: General
Official Bureau Name: SOMMA, STEPHEN
UEID: [redacted]
Phone Number: [redacted]
Payee Name: SOMMA, STEPHEN
UEID: [redacted]
Payment Name: MITCH

Enclosures:

Include if payment is for reimbursement of case-related expenditures made by the CHS.

Enclosed are receipts for expenses incurred by the Confidential Human Source (CHS) at the behest of the FBI, which are being reimbursed as expenses. (or) Enclosed is a statement documenting the amount spent by the CHS, the date(s) and the reason(s) why a receipt could not be obtained.

CHS was opened/re-opened on: 03/24/2011
Aggregate Total Paid: $526,906.60
Total Paid since re-open (if needed): $181,643.51
Total Paid in Current FY: $0.00

Is this payment for medical expenses? No

Is the CHS expected to testify? No

Financial Justification

Agents should utilize this block to document the operational necessity for expenditures (i.e. costs associated with a CHS debrief; utilization of Undercover Credit Card for CHS expenses; agent exceeding per diem allowance; alcohol consumption by agent) which normally would require separate SAC/ASAC approval. See Confidential Funding Guide for other expenditures. Specific operational details should not be entered here.
CHS was operational in a sensitive investigation.

[redacted]

[redacted]

FD-794b	**FEDERAL BUREAU OF INVESTIGATION** PAYMENT REQUEST

The Attorney General's Guidelines dated 12/13/2006 require that the payment of any FBI funds to a CHS be made by an FBI Agent and another government official. However, in extraordinary circumstances, the SAC is authorized to approve an exemption to this requirement. This allows the FBI Agent to be the payer and only witness.

Is SAC approval for an exemption to the second witness requirement requested for this payment? No

Period covered for this payment:

Begin: 08/20/2016 **End:** 09/03/2016

Payment Request Amount		
Substantive Case File Number	**Financial Program**	**Financial Subprogram**
97F-HQ-2063661	[redacted]	[redacted]
Payment Description	**Expense Incurred By**	**Payment Amount**
CHS Services	CHS Service	$15,000.00

Operational Payment justification narrative:
CHS was integral in an operation against the subject of a sensitive investigation.

Total CHS Services: $15,000.00

Total CHS Expenses: $0.00

Total Agent Expenses: $0.00

SIGNATURE

Submitted By	SMSOMMA (STEPHEN SOMMA)	Tue, 30 Aug 2016 13:55:45 -0400
First Level Approved By	Non SES	Tue, 30 Aug 2016 14:29:58 -0400
Second Level Approved By	Non SES	Thu, 1 Sep 2016 12:32:31 -0400

[redacted]

FD-794b	FEDERAL BUREAU OF INVESTIGATION PAYMENT REQUEST

DRAFT TYPE

Request Type: General **Payment Type:** Confidential **Forfeiture or Drug Related:** No

INFORMATION ABOUT THE REQUESTING EMPLOYEE

Official Bureau Name	UEID	Date of Request
SOMMA, STEPHEN	[redacted]	08/30/2016

Field Office	Squad	User Dimension 4	Telephone Number
New York	CD 8		[redacted]

INFORMATION ABOUT THE REQUESTED PAYEE

Payee Name	UEID
SOMMA, STEPHEN	[redacted]

Is this a one-time non-symbol source payment? No

Source Number	Serial Number	Payment Name	Date of Approved Waiver Request	Period Covered From	Period Covered To
HALPER	[redacted] CE-061	MITCH		08/20/2016	09/03/2016

Financial Justification: CHS was operational in a sensitive investigation.

DETAILS ABOUT THE REQUESTED DRAFT

Attributable to Agent or CHS	Catalog	File No.	Financial Program/Subprogram	Amount
		97F-HQ-2063661	[redacted]	
CHS Service		**Description:**	CHS Services	$15,000.00
			Total For 97F-HQ-2063661	$15,000.00
			Total	**$15,000.00**

ACCOUNTING DETAILS

Org Level 2	Fund	SOC	Sub SOC	BBFY	EBFY	Partition	User Dimension 5
						FBI	

[redacted]

FD-794b	FEDERAL BUREAU OF INVESTIGATION PAYMENT REQUEST

FINANCE OFFICE ONLY - SETTLEMENT OF ADVANCE

Document No.:	Creator Date	Creator Initials	FOS Approver Date	FOS Approver Initials
Commitment:				
Obligation:				
Advance:				
Expense:				
Cash Receipt:				
Cash Receipt – OTCnet (CRO):				
OTCnet Deposit Ticket #:				
Debit/Draft/EFT No.:				

Prior Month Advance Balance: _____

This Advance: _____

Less Receipts: _____

Funds Returned and/or Cash on Hand: _____

Amount to be Reimbursed: _____

APPROVAL

	Name	Date/Time
Approved By SSA:	Non SES	8/30/2016 2:30:15 PM
Certified by ASAC:	Non SES	9/1/2016 12:32:40 PM
Draft Approval Officer:		

[redacted]

[redacted]

FD-794b	**FEDERAL BUREAU OF INVESTIGATION** PAYMENT REQUEST

HEADER

Source ID: HALPER [redacted]
Date: 09/06/2016
Case Agent Name: Non SES [redacted]
Field Office/Division: New York
Squad: CD 8
Classified By: [redacted]
Derived From: [redacted]
Declassify On: [redacted]

PAYMENT REQUEST

Payment Request Form Type: General
Official Bureau Name: SOMMA, STEPHEN
UEID: [redacted]
Phone Number:
Payee Name: SOMMA, STEPHEN
UEID: [redacted]
Payment Name: MITCH

Enclosures:

Include if payment is for reimbursement of case-related expenditures made by the CHS.

Enclosed are receipts for expenses incurred by the Confidential Human Source (CHS) at the behest of the FBI, which are being reimbursed as expenses. (or) Enclosed is a statement documenting the amount spent by the CHS, the date(s) and the reason(s) why a receipt could not be obtained.

CHS was opened/re-opened on: 03/24/2011
Aggregate Total Paid: $526,906.60
Total Paid since re-open (if needed): $181,643.51
Total Paid in Current FY: $0.00

Is this payment for medical expenses? No

Is the CHS expected to testify? No

Financial Justification

Agents should utilize this block to document the operational necessity for expenditures (i.e. costs associated with a CHS debrief; utilization of Undercover Credit Card for CHS expenses; agent exceeding per diem allowance; alcohol consumption by agent) which normally would require separate SAC/ASAC approval. See Confidential Funding Guide for other expenditures. Specific operational details should not be entered here.
Payment to CHS for services.

[redacted]

[redacted]

FD-794b	FEDERAL BUREAU OF INVESTIGATION PAYMENT REQUEST

The Attorney General's Guidelines dated 12/13/2006 require that the payment of any FBI funds to a CHS be made by an FBI Agent and another government official. However, in extraordinary circumstances, the SAC is authorized to approve an exemption to this requirement. This allows the FBI Agent to be the payer and only witness.

Is SAC approval for an exemption to the second witness requirement requested for this payment? No

Period covered for this payment:

Begin: 09/02/2016 **End:** 09/07/2016

Payment Request Amount		
Substantive Case File Number	**Financial Program**	**Financial Subprogram**
97F-HQ-2067748	[redacted]	[redacted]
Payment Description	**Expense Incurred By**	**Payment Amount**
CHS Services	CHS Service	$25,000.00

Operational Payment justification narrative:
Payment for CHS for services performed on the CROSSFIRE HURRICANE/TYPHOON investigations.

Total CHS Services: $25,000.00

Total CHS Expenses: $0.00

Total Agent Expenses: $0.00

SIGNATURE		
Submitted By	SMSOMMA (STEPHEN SOMMA)	Tue, 6 Sep 2016 10:21:12 -0400
First Level Approved By	Non SES	Tue, 6 Sep 2016 10:23:53 -0400
Second Level Approved By	Non SES	Tue, 6 Sep 2016 11:59:06 -0400

[redacted]

FD-794b	FEDERAL BUREAU OF INVESTIGATION PAYMENT REQUEST

DRAFT TYPE

Request Type: General **Payment Type:** Confidential **Forfeiture or Drug Related:** No

INFORMATION ABOUT THE REQUESTING EMPLOYEE

Official Bureau Name	UEID	Date of Request
SOMMA, STEPHEN	[redacted]	09/06/2016

Field Office	Squad	User Dimension 4	Telephone Number
New York	CD 8		

INFORMATION ABOUT THE REQUESTED PAYEE

Payee Name	UEID
SOMMA, STEPHEN	[redacted]

Is this a one-time non-symbol source payment? No

Source Number	Serial Number	Payment Name	Date of Approved Waiver Request	Period Covered From	Period Covered To
HALPER	[redacted] CE-062	MITCH		09/02/2016	09/07/2016

Financial Justification: Payment to CHS for services.

DETAILS ABOUT THE REQUESTED DRAFT

Attributable to Agent or CHS	Catalog	File No.	Financial Program/Subprogram	Amount
		97F-HQ-2067748	[redacted]	
CHS Service		**Description:**	CHS Services	$25,000.00
			Total For 97F-HQ-2067748	$25,000.00
			Total	**$25,000.00**

ACCOUNTING DETAILS

Org Level 2	Fund	SOC	Sub SOC	BBFY	EBFY	Partition	User Dimension 5
						FBI	

[redacted]

FD-794b	FEDERAL BUREAU OF INVESTIGATION PAYMENT REQUEST

FINANCE OFFICE ONLY - SETTLEMENT OF ADVANCE

Document No.:	Creator Date	Creator Initials	FOS Approver Date	FOS Approver Initials
Commitment:				
Obligation:				
Advance:				
Expense:				
Cash Receipt:				
Cash Receipt – OTCnet (CRO):				
OTCnet Deposit Ticket #:				
Debit/Draft/EFT No.:				

Prior Month Advance Balance: _____

This Advance: _____

Less Receipts: _____

Funds Returned and/or Cash on Hand: _____

Amount to be Reimbursed: _____

APPROVAL

	Name	Date/Time
Approved By SSA:	Non SES	9/6/2016 10:23:56 AM
Certified by ASAC:	Non SES	9/6/2016 11:59:09 AM
Draft Approval Officer:		

[redacted]

FEDERAL BUREAU OF INVESTIGATION
PAYMENT RECEIPT

Somma, Stephen M.
Received from Non SES [redacted] the total amount of **$15,000.00** representing **$15,000.00** for services rendered and/or **$0.00** for expenses for the period **08/20/2016** from to **09/03/2016**.

X [signature] Paying official advised that monies paid for services are considered taxable income and must be reported to the appropriate tax authorities.
(CHS's Payment Name initials)

X [signature] 8 Sept 2016
CHS Payment Name (Signature) Date CHS Payment Name (Printed)

X [signature] [redacted] 8 Sept. 2016 Stephen M. Somma
Paying Agent (Signature) [redacted] Date Paying Agent (Printed)

X [redacted] 9/8/16 B.E. GESSFORD
Date Witness (Printed)

FOR OFFICIAL USE ONLY:

Payment Name: MITCH

FEDERAL BUREAU OF INVESTIGATION
PAYMENT RECEIPT

Received from Somma, Stephen M [redacted] the total amount of **$25,000.00** representing **$25,000.00** for services rendered and/or **$0.00** for expenses for the period **09/02/2016** from to **09/07/2016**.

X _____ Paying official advised that monies paid for services are considered taxable income and must be reported to the appropriate tax authorities.
(CHS's Payment Name Initials)

X Mitch	28 Sept. 2016	Mitch
CHS Payment Name (Signature)	Date	CHS Payment Name (Printed)
X [redacted]	9/29/16	Stephen M. Somma
Paying Agent (Signature)	Date	Paying Agent (Printed)
X [redacted]	9/29/16	B.E. GESSFORD
Witness (Signature)	Date	Witness (Printed)

FOR OFFICIAL USE ONLY:

Payment Name: MITCH